

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		Docket Number: 10020/20702		
Application Number 09/978,455	Filing Date October 16, 2001	Examiner YAMNITZKY, Marie	Art Unit 1774	
Invention Title ORGANOMETALLIC COMPOUNDS AND EMISSION-SHIFTING ORGANIC ELECTROPHOSPHORESCENCE		Inventor(s) LAMANSKY et al.		

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: September 3, 2004

Signature: Um / Stubby

Kevin T. Godlewski (Reg. No. 47,598

- 1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
- 2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed.
- The filing of this Supplemental Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
- 4. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: September 3, 0004

By:

Kevin T. Godlewski (Reg. No. 47,598)

KENYON & KENYON One Broadway

New York, N.Y. 10004 (212) 425-7200 (telephone)

(212) 425-5288 (facsimile)



SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449

ATTY. DOCKET NO.	SERIAL NO.
10020/20702	09/978,455
APPLICANT LAMANSKY et al.	
FILING DATE	GROUP
October 16, 2001	1774

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	6,670,645	December 30, 2003	Grushin et al.			
	6,656,608	December 2, 2003_	Kita et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Y. Ma, et al., "Electroluminescence from triplet metal-ligand charge-transfer excited state of transition metal complexes", Synthetic Metals 94 (1998), pp. 245-248;
	H.F. Wittmann, et al., "Optical specroscopy of platinum and palladium containing poly-ynes", J. Chem. Phys., Vol. 101, No. 4j, pp. 2693-2698, August 15, 1994;
	M.A. Baldo, et al., "Phosphorescent materials for application to organic light emitting devices", Pure Appl. Chem., Vol. 71, No. 11, pp. 2095-2106, 1999;
	G. DiMarco, et al., "A Luminescent Iridium(III) Cyclometallated Complex Immobilized in a Polymeric Matrix as a Solid-State Oxygen Sensor", Advanced Materials, Volume 8, pp. 576-580, July 1996;
	J.N. Demas, et al., Design and Applications of Highly Luminescent Transition Metal complexes", Analytical Chemistry, Vol. 63, No. 17, pp. 829-837, September 1, 1991;
	K. Vinodgopal, et al., "Photochemistry of Ru(bpy)2(dcbpy)2+ on AI203 and TiO2 Surfaces. An Insight into the Mechanism of Photosensitization", J. Phys. Chem. 1995, 99, pp. 10883-10889;
	R. Holmlin et al., "Os(phen)2dppz2+ in Photoinduced DNA-Mediated Electron Transfer Reactions", J. Am. Chem. Soc. 1996, 118, pp. 5236-5244

EXAMINER	DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				